

Date

Client Name

Client Address

Client Address

Client email address (if relevant)

Dear Client Name

RE: Execution Only Instructions

As per our discussions/ your communication on xx/xx/xxxx, you have instructed me to take the following actions on your behalf:

<Include summary of instructions >
<edit below table as required / suitable>

Product	Owner	Action	Value

It is important for you to understand that taking this action may have the following implications:

<outline all risks, consequences, disadvantages and advantages of the requested action>

By requesting the above without seeking financial advice, you risk making a decision that may not be appropriate to your specific needs, objectives and/or financial situation.

The following fees will apply in relation to this action:

<Include fees eg implementation fees; product fees; accountant fees; any other fees relevant to the requested action>

A copy of the relevant Product Disclosure Statement (PDS) for <product PDS including specific version and/or date number> can be found here:

<include PDS link>

The PDS outlines all information regarding your requested product including more detail about any significant benefits and risks, the cost of the product and the fees and charges, the products key features and other product specific details. It is important that you read this document in its entirety prior to proceeding with any implementation.

Client confirmation:

I/we confirm that I/we did not seek, nor do I/we wish to receive, advice from you, our financial adviser, in relation to this action.

I/we confirm that we have chosen not to receive any advice from you, our financial adviser, in relation to this action, and understand that by not receiving advice we risk making a decision that may have unintended and/or negative impacts on our financial situation.

I/We understand that, by not receiving advice, I/we risk making an investment or decision that may not be appropriate to my/our overall needs and objectives.

I/We confirm that we have requested this action of our own accord, without assistance or advice from you, our financial adviser, and we accept, acknowledge and understand the implications and consequences of this action, as detailed in this document.

I/We understand and accept that <Adviser Name>, <CAR> and Integrity Financial Planners Pty Ltd accepts no responsibility for the outcome of these actions requested by me/us.

I/We confirm that I/we have been provided with a Financial Services Guide (version number xxxx) on xx/xx/xxxx.

Execution by client:

I agree to abide and be bound by the terms and conditions of this Execution Only transaction with <Adviser Name>, <CAR> and Integrity Financial Planners Pty Ltd.

.....
Signature

.....
Signature

<Client Name>
.....

<Client Name>
.....

.....
Date

.....
Date