

IFP Third Party Risk Assessment

Provider Name: Perpetual
Assessment completed by: Vanessa Hood
Date completed: 05/07/2024

QUESTION		RESPONSE
1	What security standards do you adhere to?	The Information Security Program which was last reviewed in March 2024 is prepared and maintained considering the NIST CSF, ISO/IEC 27001:2015, and specific information security requirements stipulated by Perpetual regulators such as APRA CPS 234.
2	Have you reached at least maturity level one for all of the Essential Eight cyber security strategies?	Yes.
3	Back-ups - Do you do them, encrypt them, how frequently, how often do you test these back-ups, and when was your last test?	Our system is backed up daily and backups are encrypted. There are two levels of backups. One to disk and another backup to tape which is then sent offsite. Our system was restored from tape last March and another restore rest from tape is being scheduled in the next quarter.
4	Do you enforce MFA for all team members when logging onto your systems that contain our data?	Yes - Perpetual's Azure MFA integrated with SSO.
5	How is our data retained?	
	For how long?	Perpetual maintains a records management, retention and destruction policy. Retention periods are specified according to record type. Eg. Customer Identification Records are retained for 7 year from relationship end.
	When is it deleted?	As noted above Perpetual maintains a records management, retention and destruction policy. Data may be deleted once the relevant retention period has passed, and there are no longer any compliance, legal, commercial or client requirements to retain the data.
	What happens after we finish our working relationship?	Perpetual will maintain the data per our obligations noted above.

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	How will we access our data after our working relationship?	In this event, please contact your Perpetual relationship manager who will then forward your request to the relevant team.
6	How will we know if you have suffered a cyber breach?	Your office and clients will be notified via email or post.
	Will you notify us?	Yes
	Will you notify our clients?	Yes
7	Do you have cyber insurance?	Yes – Certificate of Currency dated 1 st May 2024, expiry 30 th April 2025. Worldwide coverage.
	Does your insurance cover third parties like us if you have a cyber incident?	The cyber insurance insures Perpetual Limited and all subsidiary companies as defined in the policy.
8	Do you have a specific cyber incident response hotline or contact information if we need to report something to you?	The general contact centre details can be used. Phone: 1800 022 033 Email: investments@perpetual.com.au